| TRANSMITTAL | | | Application Number | | 09/774,870 PEC/ January 31, 2001 OC7 | CE |
|---|------------------------------------|--|------------------------|---|--|----------------|
| | | | | Date | | |
| FORM (to be used for all correspondence after initial filing) | | | First Nam d Inventor | | Ralston, Geoffrey D. Technolog | ~ * |
| | | | Group Art Unit | | 2176 | $C_{e_{II}}$ |
| | | | Examiner Name | | # (| |
| otal Number of Pages in | This Submission | 1 | Attorn | ey Docket Number | 017887008100 | il/t |
| | | ENCLO | SURES | 6 (check all that apply) | | Ý |
| Fee Transmittal Forr | Fee Transmittal Form | | ment Pa Application | | After Allowance Communication to Group | ! |
| Fee Attached | | Drawing(s) | | | Appeal Communication to Board of Appeals and Interferences | |
| Amendment / Response | | Licensing-related Papers | | · | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | |
| After Final | | and Accompanyir | | - | Proprietary Information | |
| Affidavits/declaration(s) | | Petition to Con Provisional A | | | Status Letter | |
| Extension of Time Request | | Power of Attorney, Revocation Change of Correspondence | | | Other Enclosure(s) (please identify below): | |
| Express Abandonment Request | | Terminal Disclaimer | | | Return Postcard | |
| | | Request for Refund | | | Form PTO-1449 | |
| Information Disclosure Statement | | CD, Number of CD(s) | | | copies of 31 cited references | |
| Certified Copy of Priority Document(s) | | Remarks | | The Commissioner is Deposit Account 20-14 | authorized to charge any additional fees to 430. | |
| Response to Missing | | | | J | | |
| Response to Mis Parts under 37 C 1.52 or 1.53 | | | | | | |
| | SIGNA | TURE OF A | APPLIC | ANT, ATTORNEY, O | R AGENT | |
| and | ownsend and Tow | nsend and (| | | | |
| Individual name | homas D. Franklir | n Reg No. 43,616 | | | | |
| Signature | - /h - | 7- | <u> </u> | - | | |
| Date | () | 9/27/01 | | | | |
| | | CFF | | ATE OF MAILING | | |
| hereby certify that this calass mail in an envelope | correspondence is addressed to: As | being depos | sited with | the United States Posta | al Service with sufficient postage as first on, D.C. 20231 on this date: Sastanbor 27, 2001 | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. DE 7051553 v1



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents Washington, D.C. 20231

Attorney Docket No.: 17887-008100US Client Reference No.: VCheck

Technology Center 2100

on September 27, 200/

TOWNSEND and TOWNSEND and CREW LLP

By Maisin C. Livingood

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Geoffrey D. Ralston et al.

Application No.: 09/774,870

Filed: January 31, 2001

For: F

PROCESSING OF

UNSOLICITED BULK ELECTRONIC COMMUNICATION

Assistant Commissioner for Patents Washington, D.C. 20231

Examiner:

Art Unit:

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97 and

§1.98

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and

Geoffrey D. Ralston et al. Application No.: Page 2

no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the abovenoted Deposit Account.

Respectfully submitted,

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OCT 0 4 2001

Thomas D. Franklin
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